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PATENT  
03EB-1001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Digate et al.

Group Art Unit: 2152

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Serial No. 09/681,768

Examiner:

SEP 05 2001

Filed: June 1, 2001

:

Technology Center 2100

For: METHOD AND SYSTEM FOR  
MANAGING EXECUTIVE  
INFORMATION

:

## CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 30, 2000.

Patrick W. Rasche, Reg. No. 37,916

## INFORMATION DISCLOSURE STATEMENT

1.  Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
2.  Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
3.  Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
4.  Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)  
[before Issue Fee payment]

Hon. Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

5.  A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

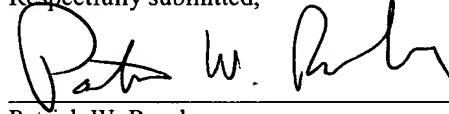
6.  37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

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7. — 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
8. — The required certification made in item 11 below; or
9. — The \$240 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10. — 37 CFR 1.97(d): [before issue fee payment]; and
  - a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
  - b) The required Certification is stated in item 11 below.
11. — Certification
12. — Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
13. — No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14. ✓ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.

Respectfully submitted,



Patrick W. Rasche  
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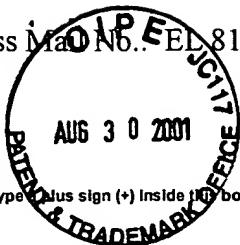
I certify that the attached Information Disclosure Statement for patent application of **DIGATE ET AL.** for **METHOD AND SYSTEM FOR MANAGING EXECUTIVE INFORMATION**, filed June 1, 2001, Serial No. 09/681,768 including:

- Information Disclosure Statement Transmittal (2 pages, in triplicate)
- Form 1449 Information Disclosure Statement (1 page) with attachments AA-AI.
- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	09/681,768
				Filing Date	06/01/2001
				First Named Inventor	Digate, Thomas
				Group Art Unit	2152
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	03EB-1001

#### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
AA	5,216,603			Flores et al.	06-01-1993	
AB	5,604,682			McLaughlin et al.	02-18-1997	
AC	5,734,902			Atkins et al.	03-31-1998	
AD	5,764,639			Staples et al.	06-09-1998	
AE	6,138,139			Beck et al.	10-24-2000	
AF	6,138,152			Jalote et al.	10-24-2000	
AG	6,167,395			Beck et al.	12-26-2000	
AH	6,208,659 B1			Govindarajan et al.	03-27-2001	
AI	6,275,853 B1			Beser et al.	08-14-2001	

#### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>3</sup> (if known)				

#### OTHER PRIOR ART - - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the items (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>

Examiner Signature	Date Considered:
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See Attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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